

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on September 30, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EV333982145US, addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

Lauren Kimball

Attorney Docket No.: SSL1P021/SS-041

First Named Inventor: Vainstein et al.

22278 U.S. PTO  
10/676474



**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Klimenty Vainstein , Satyajit Nath and Michael Michio Ouye**

For: **METHOD AND APPARATUS FOR TRANSITIONING BETWEEN STATES OF SECURITY POLICIES USED TO SECURE ELECTRONIC DOCUMENTS**

Assigned to: PSS Systems, Inc.

**Application Elements:**

- ☒ **33** Pages of Specification, Claims and Abstract
- ☒ **13** Sheets of formal Drawings
- ☒ **03** Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Other: Patent Application Cover Sheet

Fee Calculation (37 CFR § 1.16)



Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	28	MINUS	20	= 08	x 18 =	144.00
INDEP.	5	MINUS	3	= 02	x 84 =	168.00
[ ] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$750 =	750.00
TOTAL						1062.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						531.00



Check No. 20144 in the amount of \$571.00 is enclosed.



The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SSL1P021).

General Authorization for Petition for Extension of Time (37 CFR §1.136)



Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SSL1P021).



Please send correspondence to the following address:

**Customer Number 022434**

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Date: September 30, 2003



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